IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1c997 U.S. PTO 09/963396

AUM 1-201

In re Patent Application of

SMITH et al.

Atty. Ref.:

3691-311

Serial No.

To Be Assigned

Group:

Filed:

September 27, 2001

Examiner:

For:

EXTRUDED AUTOMOTIVE TRIM AND METHOD OF

MAKING SAME

September 27, 2001

Assistant Commissioner for Patents Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

\boxtimes	1.	PTO-1449 Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action]	N/C
	2.	Statement Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]	N/C
	3.	Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]	\$180.00
	4.	Pursuant to 37 CFR 1.97(d) [before Issue Fee payment]	\$180.00

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

5. A list of documents on Form PTO-1449. Actual copies of the references listed on the attached form PTO-1449 are not included herewith in accordance with MPEP §609, these references being previously submitted in any and all of the parent applications. The parent applications include U.S. applications Serial Nos. 09/416,888 and 09/210,852.

This paper is submitted in accordance with:

SMITH et al. Serial No. To be Assigned

\boxtimes	6.	37 CFR 1.97(b): [within 3 months of filing or prior to	1st Office Action]
	7.	37 CFR 1.97(c): [before Final Office Action or Allow	ance, whichever is earlier]; and
		a) The required Statement made in item 9 below; or	
		b) The \$180.00 fee specified in 37 CFR §1.17(p) fo Disclosure Statement is authorized in item 10 belonger.	
	8.	37 CFR §1.97(d): [before issue fee payment]; and	
		a) The fee (\$180.00) required by 37 CFR \$1.17(p) i and	s authorized in item 10 below;
		b) The required Statement is stated in item 9 below.	
	9.	Statement under 37 CFR 1.97(e)	
		a) The undersigned attorney of record hereby certification item of information contained in this Information cited in a communication from a foreign pate foreign application not more than three months purpose Information Disclosure Statement (each item concitation of that item by a foreign patent office in which occurred no more than three months prior	nation Disclosure Statement was ent office in a counterpart rior to the filing of this stained in this IDS was the first a counterpart foreign application
		b) No item of information contained in this Information cited in a communication from a foreign patent of application, and, to the knowledge of the person making reasonable inquiry, no item of information was known to any individual designated in 37 CI months prior to the filing of this Information Dis	office in a counterpart foreign signing this Statement, after on contained in this Statement FR §1.56(c) more than three
	10.	Please charge all applicable fees associated with the sur Disclosure Statement and any other fees applicable to Account No. 14-1140. An original and one (1) copy of	this application to Deposit
		Respectfully submitte	ed,
		NIXON & VANDER	RHYE P.C.

JAR:caj

Joseph A. Rhoa Reg. No. 37,515

SMITH et al. Serial No. To be Assigned

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Arlington, VA 22201-4714 Telephone: (703) 816-4000

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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)

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